

# Theoretical Explanations of the Optical and EPR Spectra for Tetragonal Yb<sup>3+</sup> Center in KMgF<sub>3</sub> Crystal

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In this paper, the EPR  $g$  factors  $g_{\parallel}$  and  $g_{\perp}$  of Yb<sup>3+</sup> and hyperfine structure constants  $A_{\parallel}$  and  $A_{\perp}$  of <sup>171</sup>Yb<sup>3+</sup> and <sup>173</sup>Yb<sup>3+</sup> in KMgF<sub>3</sub> crystal are calculated from the two-order perturbation formulae. In these formulae, the contribution of the covalence effects, the admixture between  $J = 7/2$  and  $J = 5/2$  states as well as the second-order perturbation are included. The needed crystal parameters are obtained from optical spectra. The calculated results agree reasonably with the observed values.

**Key words:** Electron Paramagnetic Resonance; Crystal-field Theory; Yb<sup>3+</sup>; KMgF<sub>3</sub>.

## 1. Introduction

KMgF<sub>3</sub> crystals with the perovskite structure have many applications and are convenient models for investigating the optical and magnetic properties of transition-metal or rare-earth impurity ions [1–5]. For example, the optical and EPR spectra of Yb<sup>3+</sup> in KMgF<sub>3</sub> crystal were measured [6–8]. It is interesting that a trivalent rare-earth (Re<sup>3+</sup>) can replace two different host cation sites: Mg<sup>2+</sup> with six coordinated F<sup>−</sup> anions and K<sup>+</sup> with twelve coordinated F<sup>−</sup> anions. Because substitution of Re<sup>3+</sup> for a Mg<sup>2+</sup> or K<sup>+</sup> host gives rise to charge compensation, there may be various Re<sup>3+</sup> impurity centers with different site symmetries such as cubic, trigonal, tetragonal and orthorhombic in KMgF<sub>3</sub>:Re<sup>3+</sup> crystals. For instance, three different Yb<sup>3+</sup> (cubic, trigonal, tetragonal) centers have been found by EPR measurements. For the tetragonal Yb<sup>3+</sup> center, a crystal-field analysis of the optical spectra was made. The calculated electronic energy levels are consistent with the observed values [8]. But by considering only the interaction within the ground <sup>2</sup>F<sub>7/2</sub> multiplets, the EPR  $g$  factors calculated from the first-order perturbation formulae poorly agree with the experimental findings [7, 8]. In addition, up to now no theoretical calculation of the hyperfine structure con-

stants  $A_{\parallel}$  and  $A_{\perp}$  of <sup>171</sup>Yb<sup>3+</sup> and <sup>173</sup>Yb<sup>3+</sup> in KMgF<sub>3</sub> crystal is reported.

In this paper, we use the second-order perturbation formulae of EPR parameters for an 4f<sup>13</sup> ion in tetragonal symmetry. In these formulae, the contributions to the EPR parameters due to  $J$ -mixing between the ground <sup>2</sup>F<sub>7/2</sub> and the excited <sup>2</sup>F<sub>5/2</sub> states via crystal-field interactions, the interactions between the lowest Kramers doublet  $\Gamma_7$  and the other Kramers doublets  $\Gamma_8$  via crystal-field and angular momentum as well as the covalence reduction effect due to the covalence of metal-ligand bonds are considered. From these formulae and crystal-field parameters obtained from experimental optical spectra, the EPR  $g$  factors  $g_{\parallel}$  and  $g_{\perp}$  of Yb<sup>3+</sup> and hyperfine structure constants  $A_{\parallel}$  and  $A_{\perp}$  of <sup>171</sup>Yb<sup>3+</sup> and <sup>173</sup>Yb<sup>3+</sup> in KMgF<sub>3</sub> crystal are calculated. The calculated results agree reasonably with the observed values. The results are discussed.

## 2. Calculation

A free Yb<sup>3+</sup> ion has a 4f<sup>13</sup> electronic configuration with a <sup>2</sup>F<sub>7/2</sub> ground state and a <sup>2</sup>F<sub>5/2</sub> excited state. The tetragonal crystal-field lifts the degeneracy of the <sup>2</sup>F<sub>7/2</sub> and <sup>2</sup>F<sub>5/2</sub> states into four and three Kramers doublets, respectively [9, 10]. Considering the crystal-field

$J$ -mixing effect, the energy levels and wave functions of these doublets can be obtained by diagonalizing the  $14 \times 14$  energy matrix in tetragonal symmetry. The wave function of the lowest doublet  $\Gamma\gamma$  can be expressed as

$$\begin{aligned} \Gamma\gamma(\gamma') = & \sum_{M_{J1}} C(^2F_{7/2}; \Gamma\gamma(\gamma')M_{J1})|^2F_{7/2}M_{J1}\rangle \\ & + \sum_{M_{J2}} C(^2F_{5/2}; \Gamma\gamma(\gamma')M_{J2})|^2F_{5/2}M_{J2}\rangle, \end{aligned} \quad (1)$$

where  $\gamma$  and  $\gamma'$  stand for the two components of the  $\Gamma$  irreducible representation, and  $M_{J1}$  and  $M_{J2}$  are the half-integers in the ranges  $-7/2 \sim 7/2$  and  $-5/2 \sim 5/2$ , respectively.

The perturbation Hamiltonian for a rare earth ion in the crystal under an external magnetic field can be written as [9]

$$\hat{H}' = \hat{H}_{so} + \hat{H}_{CF} + \hat{H}_Z + \hat{H}_{hf}, \quad (2)$$

where  $\hat{H}_{so}$  is the spin-orbit coupling interaction,  $\hat{H}_{CF}$  the crystal-field interaction,  $\hat{H}_Z$  the Zeeman interaction, and  $\hat{H}_{hf}$  the hyperfine interaction term, respectively.  $\hat{H}_{so}$  can be expressed as [10]

$$\hat{H}_{so} = \zeta(\hat{\mathbf{L}} \cdot \hat{\mathbf{S}}), \quad (3)$$

where  $\zeta$  is the spin-orbit coupling coefficient, and  $\hat{\mathbf{L}}$  and  $\hat{\mathbf{S}}$  are the orbital and spin momentum operators, respectively. The crystal-field interaction  $\hat{H}_{CF}$  can be expressed in terms of the tensor operators  $C_k^q$  [9, 10]:

$$\begin{aligned} \hat{H}_{CF} = & B_2^0 C_2^0 + B_4^0 C_4^0 + B_4^4 (C_4^4 + C_4^{-4}) \\ & + B_6^0 C_6^0 + B_6^4 (C_6^4 + C_6^{-4}), \end{aligned} \quad (4)$$

where  $B_k^q$  are crystal field parameters.  $\hat{H}_Z$  can be expressed in terms of the Landé factor  $g_J$  and the angular momentum operator  $\hat{\mathbf{J}}$  as [9]

$$\hat{H}_Z = g_J \mu_B \hat{\mathbf{H}} \cdot \hat{\mathbf{J}}. \quad (5)$$

The hyperfine interaction can be denoted as  $\hat{H}_{hf} = PN_J \hat{\mathbf{N}}$ , where  $P$  is the dipolar hyperfine structure constant and  $N_J$  is the diagonal matrix element for the  $^{2S+1}L_J$  state. For free Yb<sup>3+</sup> ion isotopes,  $P(^{171}\text{Yb}) \approx 388.4 \cdot 10^{-4} \text{ cm}^{-1}$  and  $P(^{173}\text{Yb}) \approx -106.5 \cdot 10^{-4} \text{ cm}^{-1}$  [9, 10].

The contributions to the EPR parameters come mainly from the first-order perturbation terms, which

Table 1. The crystal-field energy levels (in  $\text{cm}^{-1}$ ) of Yb<sup>3+</sup> in KMgF<sub>3</sub> crystal.

	1	2	3	4	5	6	7
Cal.	0	104	701	1106	10200	10498	11060
Expt. [8]	0	105	700	1006	10200	10500	11175

Table 2. The spin-Hamiltonian parameters of Yb<sup>3+</sup> in KMgF<sub>3</sub> crystal (the hyperfine structure constants  $A_i$  are in units of  $10^{-4} \text{ cm}^{-1}$ ).

	Cal. <sup>a</sup>	Cal. <sup>b</sup>	Cal. (tot)	Expt. [8]	Expt. [7]
$g_{\parallel}$	0.960	0.139	1.099	1.070(1)	1.078
$g_{\perp}$	4.377	0	4.377	4.430(3)	4.377
$A_{\parallel}(^{171}\text{Yb})$	246	37	283	281.0(5)	—
$A_{\perp}(^{171}\text{Yb})$	1131	0	1131	1166(5)	—
$A_{\parallel}(^{173}\text{Yb})$	67	10	77	74(1)	—
$A_{\perp}(^{173}\text{Yb})$	311	0	311	344(3)	—

<sup>a</sup> calculated by using the first-order perturbation formula. <sup>b</sup> calculated by using the second-order perturbation formula.

are considered [8–10]. However, the other ( $7 - 1 = 6$ ) irreducible representations  $\Gamma x$  may mix with the ground  $\Gamma\gamma$  doublet via crystal field and angular momentum interactions, and so they contribute to EPR parameters. Based on the perturbation method, the perturbation formulae of the spin-Hamiltonian parameters for the  $4f^{13}$  ion in tetragonal symmetry can be obtained [11]:

$$\begin{aligned} g_{\parallel} &= g_{\parallel}^{(1)} + g_{\parallel}^{(2)}, \\ g_{\parallel}^{(1)} &= 2g_J \langle \Gamma\gamma | \hat{J}_z | \Gamma\gamma \rangle, \\ g_{\parallel}^{(2)} &= 2 \sum_x' \frac{\langle \Gamma\gamma | \hat{H}_{CF} | \Gamma_x \gamma_x \rangle \langle \Gamma_x \gamma_x | \hat{J}_z | \Gamma\gamma \rangle}{E(\Gamma_x) - E(\Gamma)}, \end{aligned} \quad (6)$$

$$\begin{aligned} g_{\perp} &= g_{\perp}^{(1)} + g_{\perp}^{(2)}, \\ g_{\perp}^{(1)} &= 2g_J \langle \Gamma\gamma | \hat{J}_x | \Gamma\gamma' \rangle, \\ g_{\perp}^{(2)} &= 0, \\ A_{\parallel} &= A_{\parallel}^{(1)} + A_{\parallel}^{(2)}, \\ A_{\parallel}^{(1)} &= 2PN_J \langle \Gamma\gamma | \hat{N}_z | \Gamma\gamma' \rangle, \\ A_{\parallel}^{(2)} &= 2P \sum_x' \frac{\langle \Gamma\gamma | \hat{H}_{CF} | \Gamma_x \gamma_x \rangle \langle \Gamma_x \gamma_x | \hat{N}_z | \Gamma\gamma' \rangle}{E(\Gamma_x) - E(\Gamma)}, \end{aligned} \quad (7)$$

$$\begin{aligned} A_{\perp} &= A_{\perp}^{(1)} + A_{\perp}^{(2)}, \\ A_{\perp}^{(1)} &= 2PN_J \langle \Gamma\gamma | \hat{N}_x | \Gamma\gamma' \rangle, \\ A_{\perp}^{(2)} &= 0, \end{aligned}$$

where the parameters  $g_J$ ,  $g_J'$ ,  $N_J$  and  $N_J'$  ( $g_J'$  and  $N_J'$  oc-

cur in the expansions of the above formulae) for various states can be obtained from [12] and [13].

Applying all these parameters to the energy matrix, and fitting the theoretical optical spectra to those observed [8], we can obtain the best fitting results of the crystal-field parameters and spin-orbit coupling coefficient for KMgF<sub>3</sub>:Yb<sup>3+</sup> crystal, i. e.,

$$\begin{aligned} B_2^0 &\approx 1691 \text{ cm}^{-1}, B_4^0 \approx 319 \text{ cm}^{-1}, \\ B_4^4 &\approx 1206 \text{ cm}^{-1}, B_6^0 \approx -18 \text{ cm}^{-1}, \\ B_6^4 &\approx 215 \text{ cm}^{-1}, \zeta \approx 2902 \text{ cm}^{-1}. \end{aligned} \quad (8)$$

The comparisons between the calculated and experimental energy levels is shown in Table 1.

Considering the covalence reduction effect, the orbital angular momentum  $\hat{L}$  in (6) and (7) should be multiplied by an orbit reduction factor  $k$ . We take  $k \approx 0.965$  here. Thus, from the above parameters and formulae, the  $g$  factors  $g_{\parallel}$ ,  $g_{\perp}$  of Yb<sup>3+</sup> and hyperfine structure constants  $A_{\parallel}$ ,  $A_{\perp}$  of <sup>171</sup>Yb and <sup>173</sup>Yb in

KMgF<sub>3</sub> crystal are calculated. The calculated results of EPR parameters are compared with those of the observed values in Table 2.

### 3. Discussion

Table 1 shows that the calculated energy levels agree well with the observed ones. So the parameters adopted in this paper are reasonable.

Table 2 shows that, by using the second-order perturbation formulae considering the above admixtures or interactions, the EPR  $g$  factors  $g_{\parallel}$ ,  $g_{\perp}$  for Yb<sup>3+</sup> and hyperfine structure parameters  $A_{\parallel}$ ,  $A_{\perp}$  for <sup>171</sup>Yb<sup>3+</sup> and <sup>173</sup>Yb<sup>3+</sup> in KMgF<sub>3</sub> crystals are satisfactorily explained. The calculated values of  $g_{\parallel}$  and  $A_{\parallel}$  from the second-order perturbation terms amount to about 14% of the corresponding values from the first-order perturbation terms. So, for the exact calculation of EPR parameters of 4f<sup>13</sup> ions in crystals, the second-order perturbation contributions should be taken into account.

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